



Page 1 of 2

ates Patent and Trademark Office

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APPLICATION NUMBER

27161

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/707,039

11/17/2003

Jeffrey W. Seale

38-21(15546)A

**CONFIRMATION NO. 1038** 

**FORMALITIES LETTER** 

\*OC00000015996954\*

ST. LOUIS, MO 63167

800 N. LINDBERGH BLVD.

ATTENTION: G.P. WUELLNER, IP PARALEGAL, (E2NA)

MONSANTO COMPANY

Date Mailed: 05/12/2005

## NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE **DISCLOSURES**

Filing Date Granted

Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

 A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

To Download Patentin Software, visit http://www.uspto.gov/web/patents/software.htm For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday,
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450



7.

A copy of this notice <u>MUST</u> be returned with the reply.

Office of Initial Patent Examination (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

### CERTIFICATE UNDER 37 C.F.R. §1.8

I hereby certify that the documents enclosed herein are being deposited with the United States Postal Service as first class mail on this \_\_\_\_\_\_ day of September, 2005 in an envelope addressed to: MAIL STOP MISSING PARTS, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313 -1450.

Michelle Ludwig

Michelle Ludwig

In re application of: Seale et al.	)
••	) Examiner: Unknown
Serial No.: 10/707,039	)
	) Group Art Unit: Unknown
Filed: November 17, 2003	)
	) Conf. No. 1038
For: Amino Acid Sequence Variant Alfalfa	)
Antifungal Protein And Its Use In Plant	)
Disease Control	)

MAIL STOP MISSING PARTS Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

# RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

This paper is responsive to the above captioned Notice to Comply with the sequence rules at 37 CFR §§1.821-1.825, mailed on May 12, 2005. The Notice to Comply (hereinafter, "NOTICE") is believed to have been mailed by the Office in error because the Applicant filed the Application electronically using the software provided by the US Patent Office and included an electronic copy of the Sequence Listing in the electronic submission. The Applicant provides a copy of the Electronic Filing Receipt dated November 17, 2003 at 16:27:37 hours Eastern Daylight Savings Time (copy

attached hereto, hereinafter referred to as "RECEIPT")). The RECEIPT, on page 2, at the line labeled "computer-listing", clearly shows that an electronic file named "38-21-15546-13Nov2003.ST25.txt" consisting of 2893 bytes of information in size, was included along with the electronic submission for which the RECEIPT provides the Applicant with Prima Facie evidence that the USPTO received the Sequence Listing file.

Nevertheless, the Applicant herewith provides the USPTO with a 3.5 inch diskette containing a computer readable copy of the Sequence Listing as originally filed electronically on November 17, 2003, along with a paper copy of the Sequence Listing. The Computer Readable copy on 3.5 inch diskette and the Paper Copy of the Sequence Listing are the same, and do not add new matter to the specification as filed.

It is believed that, but for the USPTO's unintentional error in overlooking the fact that the Applicant properly electronically filed the computer readable copy of the Sequence Listing and received an electronic confirmation from the USPTO that the computer readable copy of the Sequence Listing was received by the USPTO, the Applicant would not now be required to respond to the Notice to Comply with the Sequence Rules, and therefore would not be required to submit a Petition for a Two Month Extension of Time and would therefore not need to incur the \$450 cost associated with the Petition. Therefore, it is respectfully requested that the Office waive the petition fee and enter the Applicants' response to the Notice to Comply.

In any event, the Office is authorized to deduct the required Petition fee from Monsanto Company Deposit Account No. 13-4125. In view of the anticipated granting of the Petition, it is believed therefore that this paper is timely filed.

Respectfully submitted,

Timothy K. Ball, Ph.D., Esq.

Reg. No. 42,287

Monsanto Company

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# UNITED STATES PATENT AND TRADEMARK OFFICE **ACKNOWLEDGEMENT RECEIPT**

Electronic Levision 1.1 Stylesheet Version v1.1.1

> Title of Invention

Amino Acid Sequence Variant Alfalfa Antifungal Protein and Its Use in **Plant Disease Control** 

**Submission Type:** 

**Utility Patent Filing** 

**Application Number:** 

10/707039 /

\*10/707039\*

EFS ID:

50772

Server Response:

Confirmation Code	Message
ISVR1	Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application
ICON1	1038
WUTL2	Filename= e15546-pkda.xml BusinessRule= The utility patent submission does not include at least one declaration.
WGEN24	Filename= all XMLs having <invention-title> BusinessRule= Common elements across multiple XML documents within the submission package do not have synchronized data content. #Supporting Msg:<invention-title> not the same or having font fomat.</invention-title></invention-title>

First Named Applicant:

**Jeffrey Seale** 

Attorney Docket Number: 38-21(15546)A

Timestamp:

2003-11-17 16:27:37 EDT

From:

us

File Listing:

File Name	Size (Bytes)
e15546-usrequ.xml	2338
us-request.dtd	19064
us-request.xsl	33300
e15546-usfees.xml	1670
us-fee-sheet.xsl	24912
us-fee-sheet.dtd	11069
15546A-trans.xml	97787
us-application-body.xsl	83497
	e15546-usrequ.xml us-request.dtd us-request.xsl e15546-usfees.xml us-fee-sheet.xsl us-fee-sheet.dtd 15546A-trans.xml

file://C:\USPTO\Electronic%20Filing\38-21(15546)%20Electronic%20Filing\e15546\e1552 11/17/2003

application-body	application-body.dtd	49498
application-body	wipo.ent	4956
application-body	mathml2.dtd	54588
application-body	mathml2-qname-1.mod	13225
application-body	isoamsa.ent	5191
application-body	isoamsb.ent	3988
application-body	isoamsc.ent	1460
application-body	isoamsn.ent	5620
application-body	isoamso.ent	1934
application-body	isoamsr.ent	7073
application-body	isogrk3.ent	3559
application-body	isomfrk.ent	4553
application-body	isomopf.ent	2571
application-body	isomscr.ent	4628
application-body	isotech.ent	5268
application-body	isobox.ent	3568
application-body	isocyr1.ent	5345
application-body	isocyr2.ent	2504
application-body	isodia.ent	1508
application-body	isolat1.ent	5282
application-body	isolat2.ent	9007
application-body	isonum.ent	5913
application-body	isopub.ent	6621
application-body	mmlextra.ent	7901
application-body	mmlalias.ent	38209
application-body	soextblx.dtd	12870
application-body	Table1.tif	11256
application-body	Table2.tif	7796
application-body	Figure 1.tif	10600
computer-listing	38-21-15546-13Nov2003.ST25.txt	2893
package-data	e15546-pkda.xml	7046
package-data	package-data.dtd	27025
package-data	us-package-data.xsl	19263
	Total files size	626356

Message Digest:

390b70bcf9a66b3178cae70715d763b03469295a

Digital Certificate Holder

cn=Timothy Keith Ball,ou=Registered

Name:

Attorneys,ou=Patent and Trademark
Office,ou=Department of Commerce,o=U.S.



#### **FEE TRANSMITTAL**

Electronic Stylesheet Version v08.0

Title of Invention

Amino Acid Sequence Variant Alfalfa Antifungal Protein and Its Use in Plant Disease Control

Application Number:

Date:

First Named Applicant: Jeffrey W Seale Attorney Docket Number: 38-21(15546)A

# **TOTAL FEE AUTHORIZED \$1272**

Patent fees are subject to annual revisions on or about October 1st of each year.

Filing as large entity

**BASIC FILING FEE** 

Fee Description	Fee Code	Amount \$	Fee Paid \$
Utility Filing Fee	1001	770	770
Subtotal For Basic Filing Fee: \$770			

#### **EXTRA CLAIM FEES**

Fee Description	Extra Claims	Fee Code	Amount \$	Fee Paid \$
Total Claims: 24	4	1202	18	72
Independent Claims: 8	5	1201	86	430
No. anggadaphingung manang manang panggangan anggang manang manang manang manang manang manang manang manang m		Subtotal F	or Extra Claim	ns Fees: \$ 502

## **AUTHORIZED BILLING INFORMATION**

The commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit account number: 134125

Access Code

\*\*\*\*

Deposit name: Monsanto Company

Deposit authorized name: Timothy K Ball

Signature: p42287

Date (YYYYMMDD): 2003-11-17

Charge Any Additional Fee Required Under 37 C.F.R. Sections 1.16 and 1.17.